



PATENT APPLICATION

#4
320-98

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Group Art Unit:

Yunlong Sun and Edward J. Swenson

Application No. 08/959,140

Filed: October 28, 1997

For: **LASER SYSTEM FOR FUNCTIONAL
TRIMMING OF FILMS AND DEVICES**

Date: November 25, 1997

Examiner:

INFORMATION DISCLOSURE STATEMENT

TO THE ASSISTANT
COMMISSIONER FOR PATENTS:

Pursuant to their duty of disclosure, applicants enclose copies of the documents listed on the accompanying Form PTO-1449.

1. This information disclosure statement is being submitted:
 - a. ☒ Within three months of filing the above-identified application or entry of the national stage, or before receipt of the first Office action on the merits, whichever is later. (No certification required.)
 - b. ☐ After the period set forth in paragraph 1a, but before receipt of a final action or notice of allowance. (Check box i. or ii.)
 - i. ☐ A fee of \$220.00 under 37 CFR 1.17(p) is enclosed.
 - ii. ☐ A certification under 37 CFR 1.97(e) is set forth below.

- c. ☐ After receipt of a final action or notice of allowance and before payment of an issue fee. A certification under 37 CFR 1.97(e) is set forth below. A petition requesting consideration of the Information Disclosure Statement and a petition fee of \$130.00 under 37 CFR 1.17(i)(1) are enclosed.
2. ☐ The attorney or agent signing below hereby certifies that:
- ☐ each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.
- ☐ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the statement.
3. ☐ Applicant(s) set forth below concise explanations of the relevance of each document not in the English language and/or selected document(s) in the English language.

Respectfully submitted,

Yunlong Sun and Edward J. Swenson

By Michael L. Levine

Michael L. Levine

Registration No. 33,947

Date: November 25, 1997
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Attorney Docket No. 26860/33:43 D USA



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TRANSMITTAL LETTER

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith in connection with the above-identified application are the following documents:

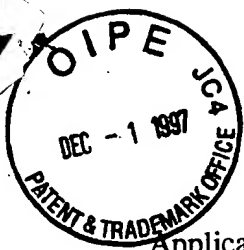
1. ☒ Information Disclosure Statement.
2. ☒ Form PTO-1449.
3. ☒ Copies of cited references (39).
4. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of the enclosed documents or to credit any overpayment to Deposit Account No. 19-4455. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Yunlong Sun and Edward J. Swenson

By Michael L. Levine
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0110

Application No.: 08/959,140

Filed: October 28, 1997

Inventor(s): Yunlong Sun and Edward J. Swenson

Title: LASER SYSTEM FOR FUNCTIONAL TRIMMING OF FILMS AND DEVICES

Art Unit:

Examiner:

Attorney Docket No.: 26860/33:43 D

CERTIFICATE OF MAILING

Date of Deposit November 25, 1997

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS
BEING DEPOSITED WITH THE UNITED STATES POSTAL
SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE
ADDRESSED TO: ASSISTANT COMMISSIONER FOR
PATENTS, WASHINGTON, D.C. 20231

Signature

Deanna J. Sullivan

Typed or printed name of person signing
certificate

Attachment:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449
- ☒ Cited References